

# Memorandum

To: CHAIR AND COMMISSIONERS

CTC Meeting: November 6-7, 2002

Reference No.: 2.9b.  
Action Item

From: ROBERT L. GARCIA  
Chief Financial Officer

Prepared by: Robert Sertich  
Chief  
Division of Budgets

Ref: **TECHNICAL CHANGE TO PREVIOUSLY APPROVED RESOLUTION MFP-02-09**

## **ISSUE:**

Resolution MFP-02-09, was originally approved on August 22, 2002, in the amount of \$700,000 for one (1) Local State Transportation Improvement Program Rail/Transit project.

This technical change corrects the receiving recipient from the Southern California Regional Rail Authority to the Department of Transportation.

## **RECOMMENDATION:**

The Department of Transportation recommends the California Transportation Commission approve the preceding technical change.

Attachment(s)

State of California  
Business, Transportation and Housing Agency  
Department of Transportation

MASS TRANS FINANCIAL MATTERS  
Local STIP Rail/Transit Allocations  
Resolution: MFP-02-09

Prepared By:  
Robert Sertich  
Chief  
Division of Budgets  
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CTC Meeting: August 22, 2002

Reference No.: 2.6a.(2)

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ROBERT L. GARCIA  
Chief Financial Officer  
~~August 1, 2002~~  
November 1, 2002

**FINANCIAL ALLOCATION**  
**LOCAL STATE TRANSPORTATION IMPROVEMENT PROGRAM RAIL/TRANSIT**  
**PROJECT**

**RESOLUTION MFP-02-09**

**RECOMMENDATION**

The California Department of Transportation (Department) recommends the California Transportation Commission (Commission) approve the following resolution, allocating a total of \$700,000 in State Highway Account (SHA) funds, and/or Public Transportation Account (PTA) funds, contingent on the passing of Budget Act of 2002, for one (1) Local State Transportation Improvement Program (STIP) Rail/Transit project on the attached Vote List.

**FINANCIAL RESOLUTION**

**Resolved That:**

A total of \$700,000 in State Highway Account (SHA) funds, and/or Public Transportation Account (PTA) funds, programmed in the 2002 STIP, be allocated to the Recipient for the project on the attached Vote List. The project, as component phases or in their entirety, appear on the necessary State capital projects funding list and are entitled to participate in this allocation.

The Recipient is committed to providing any required local matching funds and to fully fund implementation of the project in compliance with grant funding requirements and Commission policy. For acquisitions of rail right-of-way properties, the Recipient has performed, with diligence, the process of identification and remediation of any hazardous waste in the right-of-way, easements and properties, in accordance with the Commission's established "Hazardous Waste Identification and Clean-up Policy" (Resolution G-91-2).

Reimbursement of eligible costs is subject to the policies, restrictions and assurances as set forth in the Commission's policy for allocating, monitoring, and auditing local assistance grant projects, and is governed by the terms and conditions of the Fund Transfer Agreement (FTA), and subsequent amendments to the same if required, as executed between the Recipient and the Department.

The Recipient shall provide the Department with quarterly expenditure plans by category including any proposed changes for the balance of all funded project allocations commencing with the first quarter.

In the event that the recipient, or successor public entities, fail or cease to utilize the Project's properties for the intended public transit purposes or sell or transfer title of the Project, this Commission shall be entitled to a then present value refund, or credit, at State's option, equivalent to the proportionate funding participation by the State towards the Project. The credit due the Commission in each instance, will be measured by the ratio of State and other public funding to that provided from Recipient. That ratio will be applied to the fair market value of the Project's property.

The Recipient(s) shall provide the Department with notification of contract award within twelve (12) months from the date of this allocation, and must complete the work to be reimbursed and the actual reimbursement within thirty-six (36) months from award of said contract, unless the Commission authorizes a waiver that extends, if permitted by statute, the period of availability of the funds.

Or, Funds allocated for local project development or right of way costs must be expended by the end of the second year following the fiscal year in which the funds were allocated. For local grant projects, the local agency must invoice Caltrans for these costs no later than 180 days after the fiscal year in which the final expenditure occurred unless the Commission authorizes a waiver that extends, if permitted by statute, the period of availability of the funds.

Project #	Allocation Amount	Recipient	RTPA/CTC	District-County	Legislative District	Location	Project Description	Project Funding	EA Dist-PPNO Program / Year Programmed: PA&ED PS&E R/W CONST	Budget Year Item # Program Code	Allocation Amount State Federal Total Amount
2.6a(2) Local State Transportation Improvement Program (STIP) Intercity Rail Projects											Resolution MFP-02-09
1	\$700,000	Southern California Regional Rail Authority Department of Transportation VCTC	75-Ventura Senate: 18 Assembly: 37,38	Safety Improvements to Crossings from Moorpark to Simi Valley Pacific Surfliner Corridor In Ventura County, construct safety improvements at various rail crossings.			R897SA 75-2033 IIP / 02-03	2002-03 301-0042 30.20.020.720	\$700,000		
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											\$40,000
											\$0
											\$660,000
											\$700,000
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